Workable Models of Standard Performance in English & Spanish

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Presentation

What does it mean to “speak language X?”

Practical Problem: measure listening & speaking in a particular language (English or Spanish).

Describe development & evaluation of workable models of language performance
Application dictates Technology

• Requirement for large volumes (>100/day) and for fairness suggests fully automatic methods

• Fully automatic testing dictates explicit, simple models of language (to implement & train)

• New models and methods require evaluation
Types of Spoken Language Test

• Language Proficiency Interview (LPI)
  – Fully Human, operational construct definition
  – ILR OPI, ACTFL OPI, … TSE

• Automatic spoken language test
  – Fully automatic tests with facility construct
  – PhonePass SET-10, SST
Types of Spoken Language Model

• Oral Proficiency in Communication
  – Structure: applied linguistics research literature
  – Content: iterative expert judgment

• Performance with Language
  – Structure: General-purpose statistical estimation
  – Content: iterative training on performance data
Applied Linguistics ~ SLP
SLP History

Spoken Language Processing

“Simplicity (+ data) swamps insight.”

Practical Goal: Human Machine Dialog

Original mainstream method was to implement expert meta-cognitive strategies.

Jelinek and others redefined the critical task as decoding speech to text on statistical basis.
First Overly Simple Model (1980s)

Utterance $\rightarrow$ words $\rightarrow$ phonemes $\rightarrow$ acoustics

Grammar: $p(w_3)$ given $(w_1, w_2)$

Trained on large data sets, out-performed expert models based in insight.

The whole field changed and the statistical methods took over natural language processing.
Construct Comparison

COMMUNICATIVE COMPETENCE*

Organization

Pragmatics

Grammar

Text

Illocution

Socioling.

LANGUAGE FACILITY*

Grammar

Skill

FSMs, HMMs

Metric in time

*Bachman

*SET-10
Construct Comparison

**OPI Construct:** Oral Proficiency as manifest in an Oral Proficiency Interview, but often with reference to *communicative competence* as reflected in the functional level and/or complexity of content accurately produced.

**SET-10 Construct:** *facility in spoken English* – the ability to understand spoken English and speak appropriately in response at a native-like pace on everyday topics.
**SET-10 Format:**

Test number (PIN)

<table>
<thead>
<tr>
<th>Part</th>
<th>Description</th>
<th>Items</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Reading</td>
<td>8</td>
</tr>
<tr>
<td>B</td>
<td>Repeat Ss</td>
<td>16</td>
</tr>
<tr>
<td>C</td>
<td>Short Qs</td>
<td>24</td>
</tr>
<tr>
<td>D</td>
<td>Build Ss</td>
<td>10</td>
</tr>
<tr>
<td>E</td>
<td>Open Qs</td>
<td>3</td>
</tr>
</tbody>
</table>

**Introduction:**

Thank you for calling Ordinate's PhonePass system. Please enter your Test Identification Number on the telephone keypad. Now, please say your name. Now, please follow the instructions for Parts A through E.

**Part A: Reading.** Please read the sentences as you are instructed.

1. Traffic is a huge problem in Southern California.
2. The endless city has no coherent mass transit system.
3. Sharing rides was going to be the solution to rush-hour traffic.
4. Most people still want to drive their own cars, though.
5. Larry's next door neighbors are awful.
6. They play loud music all night when he's trying to sleep.
7. If he tells them to stop, they just turn it up louder.
8. He wants to move out of that neighborhood.
9. My aunt recently rescued a dog that was sick.
10. She brought her home and named her Margaret.
11. They weren't sure she was going to live, but now she's healthy.
12. I just wish she could get along better with their cat.

**Part B: Repeat.** Please repeat each sentence that you hear.

Example: a voice says, "Leave town on the next train." and you say, "Leave town on the next train."

**Part C: Questions.** Now, please give a simple answer to the questions.

Example: a voice says, "Would you get water from a bottle or a newspaper?" and you say, "a bottle" or "from a bottle".

**Part D: Sentence Builds.** Now, please rearrange the word groups into a sentence.

Example: a voice says, "was reading" ... "my mother" ... "her favorite magazine" and you say, "My mother was reading her favorite magazine."

**Part E: Open Questions.** You will have 20 seconds to answer each of three questions. The questions will be about family life or personal choices. You will hear each question twice. When you hear a beep, you will have 20 seconds to answer the question. At the end of the 20 seconds, another beep will signal the end of the time you have to answer.

Expires: 2003/3/20
## SET-10 Task Structure

### Read

### Repeat Sentence

### Answer Short Question

### Build Sentence

### Open Qs

---

**10 minutes**

(Grey items not scored).

- Integrated “\textit{listen} \rightarrow \textit{speak}” items
- Items require \textit{real-time} processing
SLP Paradigm in SET & SST

Integrated model of linguistic performance
  embedded phoneme, word, and phrase networks
  quantitative models of criterion judgment and data-driven performance criteria

Corpus-based content and scoring
  Content is restricted by corpus occurrence
  Explicit model of target interlocutor
  Explicit, metric combination score elements
How SET, SST model a language

Hidden Markov Model framework (FSM, HMM)
  Embedded stochastic networks
    Lexicon; metric phrase & clause networks
    Prosodic and segmental performance models
  Scoring is inherently disjunctive

Item Response Theory
  Logistic regression (data-driven implicature)
Facility in spoken English: ability to track what is said, extract meaning in real time, and formulate and produce relevant, intelligible responses, at a conversational pace.
Phoneme & Word Alignment

75-90 Words/Min
5.8 Phones/Sec

waveform
spectrum
words
segmentation
Simplified Response Network

- I
- don’t
- know
- thirty
- minutes
- Bill
- was
- late
- an
- half
- hour
- FIL
- SIL
- FIL
- SIL
- FIL
- SIL
Item Development Process

1. Bound lexicon to 1\textsuperscript{st} 7000 lemmas in Switchboard
2. Sample sentences from N.American text or spoken transcripts; edit to fit in lexical bounds
3. Review text form in US, UK, Australia
4. Recitation recordings from diverse N.Americans
5. Pilot items on sample $\geq 50$ natives/item (US, UK)
   If less than 90\% correct, exclude the item
Spanish Item Process

1. Bound by lexicon to LDC counts (Sp, Ar, Mx)
2. Sample sentences from Argentine developer
3. Review text form; intersect Puerto Rico, Mexico, Venezuela, Spain, Argentina, and Ecuador
   e.g. “Aquéllos eran otros tiempos.”
   “Algunas veces se quedaba dormido.”
• Recitation recordings from diverse Latinos
• Pilot items on sample >= 50 natives
  (Argentina, Mexico, Puerto Rico, Columbia,…)
  If less than 80% correct, exclude the item
SST Development and Validation

29,000 scale scores

Criteria → Native Judges → Scale Estimates

52,000 transcripts

Native Scribes

Ordinate: SST

Native Test Developers

Test Spec

Recorded Items

Content

SST Scores

2nd

Validation

Spanish Natives

Spanish Learners

Concurrent ILR or ACTFL Interviews

1st

OPI Scores

29,000 scale scores

52,000 transcripts

Native Test Developers

Test Spec

Recorded Items
1st Validation → Machine Estimates

Spoken responses

S Builds

Short answers

Repeats

Augmented ASR

Human Transcribers

Human Raters

PPass Scoring

PPass Scoring

Vocabulary

Sentence Mastery

Pronunciation

Fluency

Overall score

r
1st Machine-Human Comparison

Human scoring compared to machine-scoring

correlation = 0.94
N = 288
### 2nd Validation: Human ~ Machine Scores

**ILR-SPT and ACTFL Human Interview Scores**

<table>
<thead>
<tr>
<th>Read</th>
<th>Repeat Sentence</th>
<th>Opposite</th>
<th>Short Question</th>
<th>Build S</th>
<th>OQ</th>
<th>St R</th>
</tr>
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**ILR-SPT, CEF Scale Estimates**
(2 human raters per)

**SST Machine Scores**
2nd Validation: Spanish Data (SST)

U.S. Government OPI Interviews
1. OPI A-Raters ~ A-Raters Estimate
2. OPI A-Raters ~ B-Raters Estimate
3. OPI A-Raters ~ Machine score

1. Same Raters
Different Material

\[ r = 0.94 \]

2. Two Rater Pairs
Different Material

\[ r = 0.92 \]

3. Machine ~ Two Raters
Different Material

\[ r = 0.92 \]
Comparisons to CEF

ILR Estimate-DLI ~ CEF
Two Rater Pairs
Same Material

SST ~ CEF
Machine ~ Two Raters
Different Material
ACTFL Interviews

ILR Estimate-DLI ~ ACTFL
Two Rater Pairs
Different Material

SST ~ ACTFL
Machine ~ Two Raters
Different Material
Model Fits New Dialect Performances

CDF by Country
Item-specific models are sharper
SST Summary & Conclusions

SST (Spoken Spanish Test) contains
• Material sufficient for ILR or ACTFL estimate
  • 49 constrained responses are adequate
  • Six 30-second responses also adequate
    – Automatic scoring: strong predictor from 49 responses
    – SST consistently assigns high scores to natives
    – SST distributes learners of Spanish over a wide range
• Useful alignment with ILR, CEF, ACTFL levels
  – SST scores can estimate >80% variance of CEF scores
2nd Validation → Performance Puzzle

COMMUNICATIVE COMPETENCE*

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Socioling.

SET tests contain sufficient material for equivalent rating

Automatic scoring matches test-retest performance of criterion instruments

~80% of variance
Cross-Construct Puzzle

• The communicative frameworks (e.g. ILR, CEF) generally look for the maximum complexity level of material or function that can be expressed (without time constraint)

• SET-10 measures automaticity of perception and production for relatively simple material

• Yet SET-10 predicts communicative measures at or near their reliability limit
Message complexity depends, in part, on automaticity

• If one measures communicative competence by the functional level or relative complexity of the messages that are communicated, what are the bases of this complexity?
  1. Adequate language-independent cognition
  2. Adequate control of the language system
  3. In listening and speaking, adequate automaticity of encoding and decoding
Linguistics Reconceived

Read Chapter 1 in:

The question is: “what might a person say?” rather than “what is the structure of the language?”

Linguistics may be coming back to language use, but not thru the lens of Hymes’ communicative competence.
Model Characteristics

• Explicit and predictive
• Language focused; not IQ, not social skills

• Advantages of this kind of modeling
  – Equivalent scoring across time and location
  – Expandable capacity – up to 1000’s of tests per day
  – Open to continuous audit – reliability & accuracy
  – Periodic re-estimation of parameters
    • e.g. item difficulty, subscore combination
Automatic Spoken Language Testing

SET-10 and SST build models of native and high-proficiency non-native behavior. Tests work because models of proficiency-dependent aspects of performance spread the L2 speakers but don’t differentiate L1 speakers (even new dialect samples). Sentence-level structural diffs >> social and supra-sentential diffs for common L pairs (hypothesis).